

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
Sep 2020 - Aug 2021

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Sep-20	19,218,441,181	14,183,028,626	10,217,275,228
Oct-20	18,927,100,617	14,379,609,178	10,403,688,158
Nov-20	18,232,837,751	13,127,028,324	9,155,256,936
Dec-20	18,594,575,583	12,868,922,690	9,034,112,538
Jan-21	18,892,598,891	13,013,695,340	8,937,610,825
Feb-21	19,381,315,473	11,782,484,921	7,918,565,709
Mar-21	20,672,460,932	15,981,962,314	12,166,762,298
Apr-21	20,952,353,054	16,486,405,519	12,616,037,882
May-21	20,960,593,876	16,346,280,472	12,429,751,057
Jun-21	20,884,731,110	16,739,203,698	12,836,535,466
Jul-21	19,957,533,988	16,276,587,697	12,358,265,191
Aug-21	21,813,539,252	17,678,952,365	13,781,754,924