



March 27, 2025

Valued Client:

An updated version of our Form ADV is now available. You can view a copy of our most recent ADV 2 Brochure at <https://www.adviserinfo.sec.gov/>. For your reference, we have included a summary of the material changes made to our ADV 2A since the last annual filing (March 26, 2024), along with our Privacy Policy and Form CRS. If you do not have access to the Internet and would like to receive a copy of these documents by mail, please contact us and we will be happy to send one to you.

If you have any questions regarding the information provided or the changes referenced above and below, please contact us via email at: info@riverfrontig.com or by telephone: 1-866-583-0744.

A handwritten signature in black ink, appearing to read "Peter J. Quinn", is written over a light gray horizontal line.

Sincerely,

Peter J. Quinn
President and CEO of RiverFront Investment Group



Material Changes to the ADV 2 Brochure

The last annual update to the Form ADV Part 2A was filed on March 26, 2024. Since the last annual filing, RiverFront has made the following changes to the Form ADV Part 2A:

- Amendments were made to remove references to RiverFront's sub-advised mutual fund throughout the Brochure. RiverFront resigned as sub-adviser to the mutual fund effective February 27, 2025.
- Item 10 and Item 14: Amendments were made to remove references to a referral arrangement between RiverFront and GAMMA Investing, LLC ("GAMMA"). This arrangement has been terminated.
- Item 12: Amendments were made to the description of our trade rotation. In certain circumstances, when it is anticipated that recommended trades for all accounts cannot be completed in a single day given the size or complexity of the trades, RiverFront may implement a different rotation. This rotation would separate the SMA account group into two separate trading groups, one for Advantage, ETF Advantage, and RiverShares ("Model Driven") accounts and the other for CPS accounts. RiverFront would then use a trade rotation between the three groups: UMA/MDP, Model Driven SMA accounts, and CPS/SMA accounts so that no group of clients is consistently favored or disfavored over any other group of clients.
- Amendments were made to describe the expansion of services into covered call and other options-based strategies, and the particular referenced sections have been updated and disclosure enhanced to reflect the strategy description (See Item 8 – Custom Portfolio Solutions), the nature of the investments intended to be held (See Item 8 – Custom Portfolio Solutions) and the scope of services included (See Item 4 – RiverFront Custom Portfolio Solutions), and the particular risks unique to these investments and strategies (See Item 8 – Risk Disclosures at pages).

We may, at any time, update this Brochure and either send you a copy or offer to send you a copy (either by electronic means [email] or in hard copy).

If you would like another copy of this Brochure, you can either download it from the SEC website as indicated above or contact our Chief Compliance Officer, Julie Gibbs, at 804-549-4808 or jgibbs@riverfrontig.com.