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This brochure provides information about the qualification and business practices of Ancora Advisors, LLC. If you have any questions about the contents of this brochure, please contact us at 216-825-4000, or by email at <u>JGeers@ancora.net</u>. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Ancora Advisors, LLC is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Ancora Advisors LLC is a registered investment advisor. Registration of an investment advisor does not imply a certain level of skill or training.

December 31, 2023

Material Changes

Material Changes Since the Last Update

Since the last update to this brochure, the following material changes have been made:

We offer clients the option of obtaining certain financial solutions from unaffiliated third-party financial institutions through UPTIQ Treasury & Credit Solutions, LLC (together with UPTIQ, Inc. and its affiliates, "UPTIQ"). UPTIQ is compensated by sharing in the revenue earned by such third-party institutions for serving our clients. When legally permissible, UPTIQ shares a portion of this earned revenue with an affiliate of our firm. The affiliate distributes this revenue to us when we are licensed to receive such revenue (or when no such license is required) and the distribution is not otherwise legally prohibited. Further information on this conflict of interest is available in Items 4, 5, and 10 of this Brochure.

This Brochure, dated December 31, 2023 replaces our Form ADV Part 2A dated September 1, 2023.

Full Brochure Availability

The Firm Brochure for Ancora Advisors LLC is available by contacting Jason Geers at (216) 825-4000 or by e-mail at <u>JGeers@ancora.net</u> or by visiting our web site at <u>www.ancora.net</u>

