

Item 1 – Cover Page



2 High Ridge Park
Stamford, CT 06905
(203) 322-0189
www.samipfd.com

Spectrum Asset Management, Inc.

Form ADV Part 2A - The Brochure

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This Brochure provides information about the qualifications and business practices of Spectrum Asset Management, Inc., hereinafter referred to as “Spectrum” or “Adviser”. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Spectrum is a registered investment adviser. Registration of an investment adviser does not imply any level of skill or training.

If you have any questions about the contents of this Brochure, or if you would like a copy of the Brochure please contact us at (203) 322-0189 and/or jhanczor@samipfd.com.

Additional information about Spectrum is also available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Material Changes

Spectrum’s most recent update to Part 2A of Form ADV was made in March 2024. Spectrum’s business activities have not changed materially since the time of the prior update.

Item 5 - This brochure has been updated to reflect a change to Spectrum’s fee schedule for Individually Managed Accounts.