

RICE HALL JAMES & ASSOCIATES, LLC
ADV PART 2A – SUMMARY OF MATERIAL CHANGES

March 28, 2024

Rice Hall James & Associates, LLC (“Rice Hall James”) has made updates to its ADV Part 2A in accordance with annual requirements. Changes were made as follows:

Item 4 – “Advisory Business” – updated to reflect the Firm’s assets under management amount as of December 31, 2023.

Item 8 – “Methods of Analysis, Investment Strategies” – updated market capitalization amounts for the Small Cap and Micro Cap Equity strategies.

Item 12 – “Brokerage Practices” – updated soft dollar disclosures to provide information on the commission sharing arrangement that RHJ has entered into with Westminster Research.

Item 13 – “Review of Accounts” – updated the number of assigned accounts reviewed by Portfolio Managers and Operations Administrators.

Additional information about Rice Hall James and its investment adviser representatives is available on the SEC’s website at www.adviserinfo.sec.gov.

Other non-material updates were made within the Brochure, so we urge prospective and current clients to read the document in its entirety. Rice Hall James’ previously updated ADV Part 2A is dated March 29, 2023. Pursuant to SEC Rules, Rice Hall James will ensure that clients receive a summary of any material changes to the Brochure within 120 days of the close of our fiscal year, along with a copy of the Brochure or an offer to provide the Brochure. Additionally, as we experience material changes in the future, we will send a summary of our “Material Changes” under separate cover, along with an offer to provide the Brochure. For more information about our firm, please visit www.ricehalljames.com. Additional information about Rice Hall James and its investment adviser representatives is available on the SEC’s website at www.adviserinfo.sec.gov.

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