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Client Relationship Summary

INTRODUCTION

GLOBALT Investments LLC (“GLOBALT” or “We”) is registered with the Securities and Exchange Commission as an investment adviser and provides investment advisory services rather than brokerage services. Fees differ for investment advisory services and brokerage services, and it is important for retail investors to understand the differences. This document gives you a summary of the types of services that we provide and how you pay.

There are free and simple tools available for you to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), a website maintained by the SEC, which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Description of Services: GLOBALT offers investment management services (not brokerage) advising individuals, corporations and institutions, banks and trust companies, pension and profit-sharing plans, estates and trusts, charitable organizations, and other investment advisers directly or through unaffiliated financial intermediaries, such as broker-dealers, banks, and investment advisers (collectively, “primary adviser”).

Investment Advisory/Management Services: The investment advisory/management services to clients and specifically retail clients are offered as Separately Managed Accounts (SMA) and through Wrap Fee Programs, that include SMA and Unified Managed Accounts (UMA). GLOBALT will recommend strategies based upon your financial objectives. If you access GLOBALT’s services through a primary adviser, GLOBALT relies on the primary adviser to assist you in selecting the appropriate investment strategy. All accounts managed by GLOBALT are discretionary, which means that GLOBALT can buy and sell securities on your behalf without prior approval. Specific and reasonable restrictions are subject to GLOBALT’s acceptance and can have a material impact on the account performance.

We will review your account at least annually unless you access our services through a primary adviser, in which case accounts are subject to review pursuant to the primary adviser’s policies, as outlined in their Form CRS. At account opening, applicable agreement(s) and disclosures will specify the account minimums and thresholds. We may accept accounts below the stated minimum on a case-by-case basis.

You can obtain **additional information** about our advisory/management services from our financial professionals or intermediaries. You may also find detailed information in the Form ADV Part 2A brochure (Items 4 and 7), on the [Investment Adviser Public Disclosure](https://www.investor.gov/CRS) website or ask us for a free copy of our Form ADV Part 2A, which is also available at the following link: [GLOBALT Investments](https://www.globaltinvestments.com).

WHAT FEES WILL I PAY?

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand the fees and costs you are paying. GLOBALT charges ongoing asset-based fees, which are a percentage of the assets in your account and are charged monthly or quarterly, depending on whether your contract is direct with GLOBALT or the primary adviser.

The principal fee for investment advisory services will align with the type of fee(s) that we report in the Form ADV Part 2A, Item 5.E, which is available on the [Investment Adviser Public Disclosure](https://www.investor.gov/CRS) website.

Conversation Starters

Questions you might wish to ask when considering our services:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Our fees vary and may be negotiable, based on the program you select and account size. The amount you pay will depend, for example, on the services you receive and the amount of assets in your account. For accounts direct with GLOBALT, you will pay a transaction fee when we process transactions on your behalf. You will also, generally, pay fees to a broker-dealer or bank that holds your assets (called “custody”).

If you have a primary adviser, you pay a fee to the primary adviser which includes GLOBALT’s asset-based fee. If you have a wrap account, your total fee will include those of your primary adviser, GLOBALT’s fee, and transaction and custodian fees as described in the primary advisers Form CRS and Form ADV Part 2A.

The amount paid to GLOBALT and your Financial Professional generally varies, based on the program you select. The asset-based fee reduces your account value and is deducted from your account. You pay our fee even if you do not buy or sell. **Additional detailed information** can be found in the advisory Form ADV Part 2A Brochure, items 5.A. through 5.D and is also available on the [Investment Adviser Public Disclosure](#) website.

Other Fees and Costs

There are other fees in an investment advisory account you will pay directly or indirectly. The most common fees and costs are custodian fees, account maintenance fees, fees related to exchange-traded funds, other transactional fees, and product level fees.

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide to you. Here is an example to help you understand what this means.

Examples of ways we make money that may pose a potential Conflict of Interest:

The more assets you have in an advisory account, including cash, the more you will pay us. Thus, we have an incentive to encourage you to increase assets in order to increase our fees. For **additional information** about potential conflicts of interest, please see our Form ADV Part 2A Brochure at www.globalt.com.

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our Financial Professionals receive a salary, bonus, and profits sharing. GLOBALT’s sales and marketing team receives a salary, a percentage of revenue received from new or existing accounts, and profits sharing.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No. Neither the Firm nor any of our Financial Professionals have a disciplinary history. We invite you to visit the [Investment Adviser Public Disclosure](#) for a free and simple search tool to research our firm and financial professionals.

WHERE CAN I FIND ADDITIONAL INFORMATION?

If you would like **additional up-to-date information** or a copy of this disclosure, please contact your Financial Professional or Annette M. Marshall, CCO at 678-802-4433 or amarshall@globalt.com.

Conversation Starters

Questions you might wish to ask when considering our services:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?
- How might your conflicts of interest affect me, and how will you address them?

Conversation Starters

Questions you might wish to ask when considering our services:

- As a financial professional, do you have any disciplinary history? For what type of conduct?
- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Whom can I talk to if I have concerns about how this person is treating me?