

Client Relationship Summary

October 1, 2024

Eventide Asset Management, LLC (“Eventide,” “We”, or “Us”) is an investment adviser registered with the Securities and Exchange Commission (“SEC”). We are not a broker-dealer. Brokerage and investment advisory services and fees differ. It is important for you to understand these differences. This document focuses on investment advisory services that we provide to retail investors. This document gives you a summary of the types of services we offer and the fees we collect. Free and simple tools are available to research firms and financial professionals at investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers and investing.

What investment services and advice can you provide me?

We offer investment advisory services to a range of clients. Our services include discretionary investment management, which means we make and implement investment decisions for a client’s account. We provide discretionary advice to individuals within investment management programs (typically referred to as “wrap programs” or “dual contract programs”, collectively “managed accounts programs”) offered by broker-dealers, banks and investment advisers (the “Sponsors”) where we deliver model portfolios directly to the sponsor for implementation.

On each business day we monitor the investments we make or recommend with respect to your account to help ensure adherence to the guidelines we maintain for your account’s investment strategy and any restrictions that you and/or your program sponsor has imposed.

We require a minimum initial investment amount to establish an account. The minimum initial investment amount will depend on the investment strategy you select and the type of account you hold. We may negotiate fees and/or waive minimums at our discretion. Program Sponsors generally also impose minimum account size requirements.

See also items 4, 12 and 13 of [Eventide’s Form ADV Part 2A](#) (“Firm Brochure”) containing information about our services and advice. Item 7 contains information about the types of clients we might accept and our minimum investment amounts.

Conversation Starters

- *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- *How will you manage my assets and choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What fees will I pay?

Program Sponsors pay us for investment advice at negotiated rates based on the services we deliver. You also pay account custody and related fees and for transaction costs (e.g., commissions) associated with maintaining your account. These additional expenses are not paid to us. In a wrap program, you will pay the Sponsor a single fee (“wrap fee”) for discretionary investment services and execution of transactions by a broker-dealer or bank with custody of your assets. Sponsors may charge you additional fees for trading securities outside of the wrap program. If you are considering a wrap or model portfolio program to which we provide investment advice, you should review the Sponsor's disclosure materials to understand the fees it charges and any business arrangements between the Sponsor and investment advisers providing services to your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Conversation Starters

- *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs? How much will be invested for me?*
- *What are your legal obligations to me when acting as my investment adviser?*
- *How else does your firm make money and what conflicts of interest do you have?*

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. We manage various products and services for different client accounts in the same strategy, including accounts in which we have invested, giving us an incentive to favor our own accounts or those accounts or strategies that pay us or certain employees a higher fee. Since the fees we charge are based on the value of your account, we could be motivated to encourage Sponsors to recommend that you put more assets in your account and/or invest in our products over those offered by another firm.

Conversation Starter

- *How might your conflicts of interest affect me, and how will you address them?*
- See also: Items 5, 6, 11, 12, 14 and 17 of our [Firm Brochure](#) containing more information about our conflicts of interest.
- *How do your financial professionals make money?*

Our investment professionals typically receive a base salary, and may receive a discretionary bonus (either cash, equity or both). Some of our investment professionals have ownership interests in Eventide. Some portfolio managers are compensated on the performance of certain client accounts. Some of our employees (the “RRs”) hold securities licenses and are compensated for sales of our products and services. We also compensate employees by sharing a portion of our annual revenues. Accordingly, RRs can be more likely to engage in sales, consulting, and educational discussions involving Eventide’s investment products, including those viewed as providing added opportunities for personal, financial compensation compared to other available products or services. This creates a potential conflict of interest because our employees have an incentive to promote investments in our products and services to increase our revenue.

Do you or your financial professionals have legal or disciplinary history?

No. Please visit investor.gov/crs for a free, simple search tool to research us and our financial professionals.

Conversation Starter

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

For additional information about our advisory services please see our [Firm Brochure](#). To request up-to-date information about our services and a hard copy of this relationship summary, please e-mail us at info@eventideinvestments.com or call 877-771-3836.

Conversation Starters

- *Who is my primary contact person?*
- *Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*